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FILING DATE APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/070,085 03/04/2002 Holger Schmidt 5067-20PUS 7279 7590 09/30/2003 Thomas C Pontani EXAMINER Cohen Pontani Lieberman & Pavane HEITBRINK, TIMOTHY W 551 Fifth Avenue Suite 1210 ART UNIT New York, NY 10176 PAPER NUMBER 1722

DATE MAILED: 09/30/2003

Please find below and/or attached an Office communication concerning this application or proceeding.



UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AI DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFIC WASHINGTON, DC 202

Paper No.

Notice of Non-Compliant Amendment (37 CFR 1.121)

docume "Ameno	ent conta dments t	ction of the following omission(s) or provision is required. Only the section (1.121(h)) of the amendment nining the omission or non-compliant provision must be resubmitted (in its entirety), e.g., the entire to the claims" section of applicant's amendment document must be re-submitted. NG CHECKED (X) ELEMENTS(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:
		ndments to the specification:
		A. Amended paragraph(s) do not include markings.
		B. New paragraph(s) should not be underlined.
		C. Other
	2.41.4	
L	2. Abstr	
		A. Not presented on a separate sheet. 37 CFR 1.72.
	Li	B. Other
	3. Ame	ndments to the drawings:
The state of the s	4. Aprendments to the claims:	
		A. A complete listing of all of the claims is not present.
		B. The listing of claims does not include the text of all claims (incl. withdrawn claims)
		C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified.
		D. The claims of this amendment paper have not been presented in ascending numerical order.
		E. Other:
		anation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf.
If the n	on-comp	liant amendment is a PRELIMINARY AMENDMENT, applicant is given ONE MONTH from the mail date of

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given ONE MONTH from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.

If the non-compliant amendment is a reply to a NON-FINAL OFFICE ACTION, and since the amendment appears to be a bona fide attempt to be a reply (37 CFR 1.135(c)), applicant is given a TIME PERIOD of ONE MONTH from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).

If the amendment is a reply to a FINAL REJECTION, this form may be an attachment to an Advisory Action. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

Legal Instruments Examiner (LIE)

July 22, 2003 (rev.)

REVISED AMENDMENT PRACTICE HIGHLIGHTS

Technical Support Staff

Requirements of the revised amendment practice of the final rule for all amendments filed on or after July 30, 2003:

- 1. Each amendment section must begin on a separate sheet
- 2. A <u>separate "clean" version</u> of a currently amended paragraph or claim is NO LONGER REQUIRED (except for a <u>substitute</u> specification see No. 5 below)
- 3. If the amendment adds, changes, or deletes any claim, a <u>listing of all</u> <u>claims</u> that are, or were, in the application, must be provided as follows:
 - The claims must be listed in ascending numerical order
 - The <u>text of all pending claims including withdrawn claims must</u> <u>be presented</u>; no text for "canceled" or "not entered" claims
 - A <u>status identifier</u> must be presented in parentheses after the claim number for each claim; only the following identifiers are permitted: (original), (currently amended), (canceled), (withdrawn), (new), (previously presented), and (not entered)
 - All "currently amended" claims must have <u>markings</u> to show changes; the only markings permitted are underlining for added matter, strikethrough and double brackets [[]] (for five or fewer characters) for deleted matter
 - Grouping of consecutive "canceled" or "not entered" claims permitted (e.g., claims 2-8 (canceled))
- 4. Upon receipt of a complete listing of all claims, LIEs should cancel all previous versions of claims in the application by drawing a line through the previous versions of claims
- 5. Amendments to the specification by <u>marked-up replacement</u> <u>paragraphs or sections only</u> no clean replacement paragraph or section is required; <u>NO</u> replacement <u>sheets</u> permitted
 - Note, however, that for <u>substitute</u> specifications, rule 1.125 continues to require both a clean and marked-up copy
- 6. <u>Drawing changes</u> without markings clean replacement drawing sheets only annotated sheet(s) showing changes may be submitted
 - Explanation separately provided